PATENT TEW /41

Attorney's Docket No. 80398.P428

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Maritzen, et al.

Application No.: 09/930,608

Filed: August 15, 2001

For: Customizing a Price or a Service by Using an Intelligent Agent Commissioner for Patents
P.O. Box 1450

Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information

Disclosure Statement is not to be construed as a representation that a search

I hereby certify that this correspondence is being deposited with the United States Postal Service as first
class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box
1450, Alexandria, Virginia 22313-1450 on <u>lo -l - Od</u> .
(Date of Deposit)
Cheri Clinkenbeard
(Type) or printed pare of person mailing correspondence)

(Signature of person mailing correspondence)

180,00 Op

has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

	37 C.F.R. §1.97(b).
_X	37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
	A statement pursuant to 37 C.F.R. §1.97(e) or
X	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
	37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
	(1) A statement pursuant to 37 C.F.R. §1.97(e): and

(2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: / MP427 2004

Sheryl Sue Holloway Reg. No. 37,850

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300

Substitute for Form 1449A/PTO (Modified)	Com	plete if Known	
INFORMATION DISCLOSURE	Application Number	09/930,608	
	Filing Date	8/15/01	
STATEMENT BY APPLICANT	First Named Inventor:	Maritzen	
JUN 0 4 2004 s many sheets as necessary)	Group Art Unit	3644	
	Examiner Name	Holzen, S.	
Short left of 3	Attorney Docket Number	80308 P428	-

,		-		U.S. PATENT DOCUMENTS		
Exam. Initial*	Cite No.1	U.S. Patent	Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant
IIIIuai	140.	Number	Kind	or cited bocument	MM-DD-YYYY	Passages or Relevant
		Code ²	(If known)			Figures Appear
		6,029,141		Bezos, et al.	2/22/00	
		6,282,552	B1	Thompson, et al.	8/28/01	
		6,311,214	B1	Rhoads	10/30/01	
		6,609,113	B1	O'Leary, et al.	8/19/03	
		6,878,235		Porterfield, et al.	3/2/99	
		5,319,589		Fraser, et al.	7/12/94	
					-	
	ļ <u></u>					
						-

	1				OREIGN PATENT DOCUM	EI1110	T	
Exam. Initial*	Cite No. ¹	Fore Office ³	ign Patent D Number⁴	ocument Kind Code ⁵ (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т

Examiner	Date Considered	
Signature		

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Substitute fo	or Form 1	449A/PTO (Modified	1)	C	mplete if Knov	vn	
		•		Application Number	09/930,60		
		TION DISC		Filing Date	8/15/01		
/ STA9	EME	NT BY APF	PLICANT	First Named Inventor:	Maritzen		
	(use as n	nany sheets as neces:	sary)	Group Art Unit	3644		
JUN 0 4 20	ريع ۵4			Examiner Name	Holzen, S.		
heet	26	of	3	Attorney Docket Number	80398.P42		
PAREN							
Examiner Initials*	Cite No ¹	Include name of	the author (in CAP nagazine, journal, s	TENT LITERATURE DOCU ITAL LETTERS), title of the argerial, symposium, catalog, etc. blisher, city and/or country who	ticle (when approp .), date, page(s),	priate), title of the volume-issue	T ²
					·		
210							
Examiner					Date	:	,

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.



The damary of USPTO Kind Codes Used on Documents Published Beginning January 2, 2001

WIPO ST.16 Kind Codes	Kind of document	Comments
A1	Patent Application Publication	Pre-grant publication available March 2001
A2	Patent Application Publication (Republication)	Pre-grant publication available March 2001
A9	Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
B1	Patent	No previously published pre-grant publication
B2	Patent	Having a previously published pregrant publication and available March 2001
C1, C2, C3	Reexamination Certificate	Previously used codes B1 and B2 are now used for granted Patents
E	Reissue Patent	No change
Н	Statutory Invention Registration (SIR)	No change
P1	Plant Patent Application Publication	Pre-grant publication available March 2001
P2	Plant Patent	No previously published pre-grant publication
Р3	Plant Patent	Having a previously published pregrant publication and available March 2001
P4	Plant Patent Application Publication (Republication)	Pre-grant publication available after March 2001
Р9	Plant Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
S	Design Patent	No change

	OIP	15					
Ju	N O L 20	(C18)		FEE TRANSMITTA (FY 2004 Begins		4	
Compi	n 0 4 20 ete if Kn	. (4)		TOTAL AMOUNT OF F	PAYMENT (\$) 1	80.00	
Applica	BURBENE	<u>_09</u>	/930,60	3			
Filing (Date8/	15/01			· · · · · · · · · · · · · · · · · · ·		
First Na	amed In	ventor	Ma	ritzen			
Examin	er Name			zen, S.	·-··		
	·		364				
Attorne	y Docket	No	803	398.P428			
	_ Appl	licant cla	ims sma	all entity status. See 37 Cl	FR 1.27.		
METH	OD OF	PAYMEN	VT (che	ck all that apply)			
<u> </u>	Chec	k	_ Credi	t Card Money C	order O	ther	None
X		it Accour		Number : 00.000			
		Deposit A		Number : <u>02-2666</u>			
x				zed to do the following wit	h respect to the al	 bove-identified (Denosit Account:
				dicated below.	in respect to the di	bove identified i	seposit Account.
	X			payments.			
				itional fees during the per	dency of this app	lication.	
	<u>X</u>			r future reply that requires a p			e treated as
				n appropriate petition for exte			
				dicated below except for t		•	
FFF C	ALCUL	ΔΤΙΩΝ					
	ALCOL	AIIOI					
1. <u>BAS</u>	SIC FILI	NG FEE					
Large E	Entity	Small E	Entity				
Fee	Fee	Fee	Fee				
Code	(\$)	Code	(\$)	Fee Description			Fee Paid
1001	770	2001	385	Utility application filing	fee		
1002	340	2002	170	Design application filing			
1003	530	2003	265	Plant filing fee			
1004	770	2004	385	Reissue filing fee			****
1005	160	2005	80	Provisional application	filing fee		
					SUB	STOTAL (1) \$_	0
2. <u>EX</u> 1	TRA CL	AIM FEE	S FOR	UTILITY AND REISSUE			
						Fee from	
				Extra Claims		below	Fee Paid
Total C	Claims			- 20** =	Х	=	
		Claims _		- 3** =	х		
	le Depe						
			lv naid	, if greater; For Reissue	s see helow	-	
Large E		Small E		in greater, For neissue	a, ace beluw.		
Fee	Fee	Fee	Fee				
Code		Code	(\$)	Fee Description			
1202	(\$)	2202	(\$) 9	Fee Description Claims in excess of 20			
	18 96				accord 2		
1201	86	2201	43 145	Independent claims in ex			
1203	290	2203	145	Multiple dependent claim		matant	
1204	86 10	2204	43	**Reissue independent cl			
1205	18	2205	9	**Reissue claims in exces	ss of 20 and over o	original patent	

SUBTOTAL (2) \$___0

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large E		Small E			
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1813	8,800	1813	8,800	Request for inter parties reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	·
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive – unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
8021	40	8021	40	Recording each patent assignment per	
				property (times number of properties)	
1809	770	2809	385	For filing a submission after final rejection	
l				(see 37 CFR 1.129(a))	
1814	110	2814	55	Statutory Disclaimer	
1810	770	2810	385	For each additional invention to be examined (see 37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
1504	300	1504	300	Publication fee for early, voluntary, or normal pub.	
1505	300	1505	300	Publication fee for republication	
1803	130	1803	130	Request for voluntary publication or republication	
1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	
1454	1,330	1454	1,330	Acceptance of unintentionally delayed claim for priority	
Other fo	ee (specify	/)	· · · · · ·	·	
Other fo	ee (specify	/)			
*Reduce	ed by Basic	Filing Fee	Paid	SUBTOTAL (3) \$ 18	30.00
	TTED BY		. 414		
Typed	or Printe	d Name:	Sheryl	Sue Holloway	
Signat	ure: <u></u>		<i></i>	Date: MDy 27, 2	004
Reg. N	umber:	37,850		Telephone Number:408-720-8300)